

Theorem Proving beyond Deduction

Specification and Verification with Higher-Order Logic

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Outline

- 1 Introduction
- 2 SAT Solver
- 3 Model Checker
- 4 Conclusion

Motivation

Unified Verification Platform

- use theorem prover to integrate various tools
- most general and most flexible tool

Combining Calculation and Deduction

- deduction: e.g. theorem proving:
proving properties by mechanised logical deduction
- calculation: e.g. model checking:
showing system \mathcal{M} has property \mathcal{P} by algorithmic calculation
- research goal:
general platform for implementing provers and checkers

Want the Best of Both Worlds

want maximally expressive logics

- higher-order logic
- readable specs of whole systems, high-level datatypes etc.
- requires theorem proving

want state-of-the-art checking efficiency

- years of algorithm design and code honing

Three Approaches

Loose Integration

- link tools via protocols, scripting languages etc.

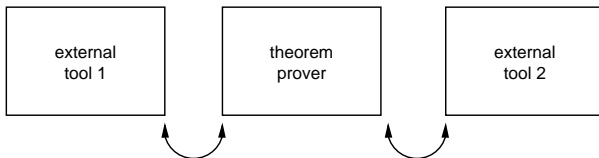
Add Calculation to Theorem Prover

- implement algorithms in a general theorem prover

Add Deduction to Specialised Tool

- add rules to a specialised tool

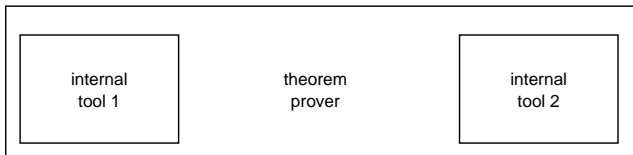
Loose Integration



Overview

- existing infrastructure, future-proof, semantically challenging
- many tools are linked in this way: SAT, FOL, ...
- approach followed by PROSPER EU project
- will be illustrated with the help of SAT solvers

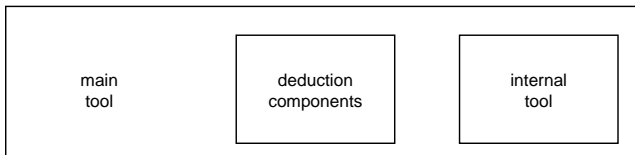
Add Calculation to Theorem Prover



Overview

- example: theorem proving is guided by model checking
- will be illustrated with the help of a model checker

Add Deduction to Specialised Tool



Overview

- goal: extend specialised tool to complete system
- lightweight proving + state-of-art checking (Cadence, Intel)
- will not be illustrated in the following

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LCF Approach to Theorem Proving

Theorems

- theorems represented by an abstract type
- primitive operations
 - axioms
 - inference rules of a logic
- composing together the inference rules using ML programs

Example (Higher-Order Logic Axioms)

- $\vdash \forall b. (b = T) \vee (b = F)$
- $\vdash \forall b_1 b_2. (b_1 \rightarrow b_2) \rightarrow (b_2 \rightarrow b_1) \rightarrow (b_1 = b_2)$
- $\vdash \forall f. (\lambda x. fx) = f$
- $\vdash \forall P x. P x \rightarrow P(\varepsilon P)$
- $\vdash \exists f. (\forall x y. fx = fy \rightarrow x = y) \wedge (\neg \forall x. \exists y. x = f y)$

LCF Approach to Theorem Proving

Backdoor

- oracles can create arbitrary theorems
- always tagged (string denoting the origin)
- tags are propagated
- if oracle is incorrect, all incorrect theorems can be spotted

Backdoor API

```
val mk_thm      : term list * term -> thm
val mk_oracle_thm : string -> term list * term -> thm
val add_tag     : tag * thm -> thm
val tag        : thm -> tag
```

Creating Tagged Theorems

Example (Oracles)

```
– show_tags := true;
> val it = () : unit

– val thm1 = mk_oracle_thm "me" ([], "T ==> F");
> val thm1 = [oracles: me] [axioms: ] [] |- T ==> F : thm

– CONTRAPOS thm1;
> val it = [oracles: DISK_THM, me] [axioms: ] [] |- ~F ==> ~T : thm
```

Boolean Satisfiability Problem (SAT)

Problem

Given a Boolean expression, is there some assignment to all the variables that will make the entire expression true?

Example (SAT)

$$(x_1 \vee x_2) \wedge (\neg x_1 \vee \neg x_2)$$

$$x_1 \wedge \neg x_2 \wedge (\neg x_1 \wedge x_2)$$

Properties

- problem is NP-complete
- very important, many applications
 - bounded model checking, equivalence checking, FPGA routing, ...

SAT Tool Interface: DIMACS

Interface

- common input file format for SAT solvers
- several descriptions supported
- in the following: expression in conjunctive form

Example (Input File Format)

$$(x_1 \vee x_3 \vee \neg x_4) \wedge x_4 \wedge (x_2 \vee \neg x_3)$$

```
c Example DIMACS file
p cnf 4 3
1 3 -4 0
4 0
2 -3 0
```

Using the SAT Library

Interface

- two functions that create theorems: `satOracle` and `satProve`
- difference: optional checking

Example (Using HoISatLib)

```

– satOracle grasp “(x ∨ ~y ∨ z) ∧ (~z ∨ y)“;
> val it = [oracles: grasp] [axioms: ] []
      |– z ∧ y ==> (x ∨ ~y ∨ z) ∧ (~z ∨ y) : thm

– satProve grasp “(x ∨ ~y ∨ z) ∧ (~z ∨ y)“;
> val it = [oracles: ] [axioms: ] []
      |– z ∧ y ==> (x ∨ ~y ∨ z) ∧ (~z ∨ y) : thm

```

Invoking the SAT Solver

Arguments of satOracle

- SAT solver: sato, grasp or zchaff ...
- term t

Steps of satOracle

- write a DIMACS format file corresponding to the term t
- invoke the solver on the file to create an output file
- parse the output file to extract the model found
- create a theorem (tagged with the name of the solver) that shows the model

Invoking the SAT Solver

Steps of satProve

- write a DIMACS format file corresponding to the term t
- invoke the solver on the file to create an output file
- parse the output file to extract the model found
- use HOL to check that the model is really a model and return an untagged theorem

Example (Using satProve)

```

– satProve grasp “(x ∨ ~y ∨ z) ∧ (~z ∨ y)“;
> val it = [oracles: ] [axioms: ] []
      |– z ∧ y ==> (x ∨ ~y ∨ z) ∧ (~z ∨ y) : thm

```

- checking a solution is relatively simple

Unsatisfiable Terms

Example (Unsatisfiable Terms)

```

– satOracle grasp “(x ∨ ~y ∨ z) ∧ ~z ∧ y ∧ ~x“;
> val it = [oracles: grasp] [axioms: ] []
      |– ~((x ∨ ~y ∨ z) ∧ ~z ∧ y ∧ ~x)

– satProve grasp “(x ∨ ~y ∨ z) ∧ ~z ∧ y ∧ ~x“;
! Uncaught exception:
! satProveError

```

Checking the Result

- proving that no solution exists is not simple
- no efficient implementation in HOL
(basically needs to check all possibilities)

Tautology Checking

Procedure

- 1 prove $\vdash \forall \vec{x}. \neg t = \exists \vec{x}. t'$
- 2 use a SAT solver to prove $\vdash \exists \vec{x}. \neg t'$;
- 3 by negating both sides of (1), prove $\vdash \forall \vec{x}. \neg \neg t = \exists \vec{x}. \neg t'$
- 4 hence by combining (2) and (3) derive $\vdash \forall \vec{x}. \neg \neg t$.
- 5 hence by the law of double negation conclude $\vdash \forall \vec{x}. t$.

Tautology Checking

Example (Tautology Checking Code)

```
fun SAT_TAUT_CHECK sat_solver t =  
  let val th1 = canonTools.CNF_CONV(mk_neg t)  
      val th2 = satOracle sat_solver (rhs(concl th1))  
      val th3 = AP_TERM "$~" th1  
      val th4 = EQ_MP (SYM th3) th2  
      val th5 = EQ_MP (SPEC t NOT_NOT) th4  
  in  
    th5  
  end;
```

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State Transition Systems in HOL

States

- set of states: type *states*
- set of initial states: predicate \mathcal{B}
 - $\mathcal{B} : \text{states} \rightarrow \text{bool}$
 - $\mathcal{B} s$ means s is an initial state

Transitions

- state transition relation: \mathcal{R}
 - $\mathcal{R} : \text{states} \times \text{states} \rightarrow \text{bool}$
 - $\mathcal{R}(s, s')$ means s' a successor to s

HOL Definitions

Reachable States

- set of states reachable in at most n steps:
 - $\vdash \text{ReachBy } 0 \mathcal{R} \mathcal{B} s = \mathcal{B} s$
 - $\vdash \text{ReachBy } (n+1) \mathcal{R} \mathcal{B} s =$
 $\text{ReachBy } n \mathcal{R} \mathcal{B} s \vee \exists u. \text{ReachBy } n \mathcal{R} \mathcal{B} u \wedge \mathcal{R}(u, s)$
- set of reachable states:
 - $\vdash \text{Reachable } \mathcal{R} \mathcal{B} s = \exists n. \text{ReachBy } n \mathcal{R} \mathcal{B} s$

Checking Safety Properties

- check $\mathcal{M} \models P$ with the help of
 $\forall s. (\exists n. \text{ReachBy } n \mathcal{R} \mathcal{B} s) \Rightarrow P s$
- use BDDs: compute BDD and check result if the BDD of 'true'

Computing ReachBy $n \mathcal{R} \mathcal{B} s$

Fixpoint Iteration

- $\forall s. (\exists n. \text{ReachBy } n \mathcal{R} \mathcal{B} s) \Rightarrow P s$
is not a quantified boolean formula (QBF)
- Key property:

$$\vdash (\text{ReachBy } n \mathcal{R} \mathcal{B} s = \text{ReachBy } (n+1) \mathcal{R} \mathcal{B} s) \Rightarrow$$

$$(\text{Reachable } \mathcal{R} \mathcal{B} s = \text{ReachBy } n \mathcal{R} \mathcal{B} s)$$
- Compute Reachable $\mathcal{R} \mathcal{B} s$ by iteratively computing:

$$\text{ReachBy } 0 \mathcal{R} \mathcal{B} s$$

$$\text{ReachBy } 1 \mathcal{R} \mathcal{B} s$$

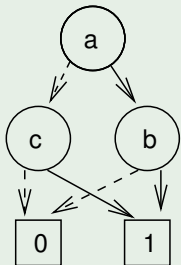
$$\vdots$$

$$\text{ReachBy } n \mathcal{R} \mathcal{B} s$$

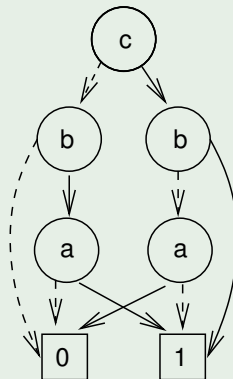
$$\text{ReachBy } (n+1) \mathcal{R} \mathcal{B} s$$

Binary Decision Diagrams

Example (BDD for $(a \wedge b) \vee (\neg a \wedge c)$)



variable order $a < b < c$



variable order $c < b < a$

Applying the LCF Approach to BDD Calculation

Consider Judgements (ρ, t, b)

- structure
 - ρ represents a variable order,
 - t is a boolean term all of whose free variables are Boolean
 - b is a BDD.
- such a judgement is valid ($\rho t \mapsto b$) if
 - the BDD representing t with respect to ρ
 - is b

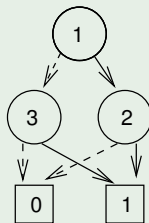
Analogy

- abstract type `term_bdd` that models judgements
- higher level tools, such as model checkers, are programmed in ML as derived rules

BDD Judgements

Example

$\{a \mapsto 1, b \mapsto 2, c \mapsto 3\} \quad (a \wedge b) \vee (\neg a \wedge c) \mapsto$
 (variable order: $a < b < c$)



- can also be written as $BDD(1 \Rightarrow (2 \Rightarrow 1|0)|(3 \Rightarrow 1|0))$

Implementation in HOL `HolBddLib`

BDD Library

- An ML type *termbdd* to represent judgements $\rho \ t \mapsto b$
 - analogous to LCF type *thm* representing logic theorems $\vdash t$
- ML functions corresponding to inference rules, for example:

`BddT` : `termbdd`

`BddNot` : `termbdd` \rightarrow `termbdd`

`BddAnd` : `termbdd` \times `termbdd` \rightarrow `termbdd`

`BddEqualTest` : `termbdd` \rightarrow `termbdd` \rightarrow `bool`

`BddEqMpSYM` : `thm` \rightarrow `termbdd` \rightarrow `termbdd`

`BddThmOracle` : `termbdd` \rightarrow `thm`

Reasoning about BDD Representations of Terms

BDD Derivation

- $\rho t \mapsto b$ means term t is represented by BDD b w.r.t. ρ
- let ρ be a map from variables to ordered BDD variable nodes

$$\begin{array}{l}
 \text{T} \frac{}{\rho T \mapsto BDD(1)} \quad \text{F} \frac{}{\rho F \mapsto BDD(0)} \quad \text{VAR} \frac{\rho(v) = n}{\rho v \mapsto BDD(n \Rightarrow 1|0)} \\
 \\
 \text{AND} \frac{\rho t_1 \mapsto b_1 \quad \rho t_2 \mapsto b_2}{\rho t_1 \wedge t_2 \mapsto b_1 \text{ AND } b_2} \quad \text{EQ} \frac{\rho t_1 \mapsto b_1 \quad \rho t_2 \mapsto b_2}{\rho t_1 = t_2 \mapsto b_1 \text{ EQ } b_2} \\
 \\
 \text{IMP} \frac{\rho t_1 \mapsto b_1 \quad \rho t_2 \mapsto b_2}{\rho t_1 \Rightarrow t_2 \mapsto b_1 \text{ IMP } b_2} \quad \text{EXISTS} \frac{\rho t \mapsto b \quad \rho u \mapsto n}{\rho \exists u. t \mapsto \text{EXISTS } n b} \\
 \\
 \text{THM} \frac{\rho t \mapsto BDD(1)}{\vdash t} \quad \text{SUB} \frac{\rho t_1 \mapsto b \quad \vdash t_1 = t_2}{\rho t_2 \mapsto b}
 \end{array}$$

Combining BDD Calculation and Deduction

Calculation and Deduction

- If t_1 a QBF then $\rho t_1 \mapsto b$ by BDD evaluation
 - logically use rules T, F, VAR, AND, EQ, IMP, EXISTS, ...
 - implement efficiently
- Use theorem proving to prove $\vdash t_2$
- Combine using bridging rules THM and SUB
- THM is the only rule that creates theorems

Example Deduction using BDDs

Example

Define $\mathcal{S}_n(s) = \text{ReachBy } n \mathcal{R} \mathcal{B} s$.

⋮	⋮
$\rho \mathcal{S}_{20}(s) \mapsto b_{20}$	BDD evaluation
$\rho \mathcal{S}_{21}(s) \mapsto b_{21}$	BDD evaluation
$\rho (\mathcal{S}_{20}(s) = \mathcal{S}_{21}(s)) \mapsto b_{20} \text{ EQ } b_{21}$	EQ
$\vdash \mathcal{S}_{20}(s) = \mathcal{S}_{21}(s)$	THM assuming $b_{20} \text{ EQ } b_{21}$ is
$\vdash (\mathcal{S}_{20}(s) = \mathcal{S}_{21}(s)) \Rightarrow (\exists n. \mathcal{S}_n(s)) = \mathcal{S}_{20}(s)$	Instance of lemma
$\vdash (\exists n. \mathcal{S}_n(s)) = \mathcal{S}_{20}(s)$	Modus Ponens and lemma
$\rho (\exists n. \mathcal{S}_n(s)) \mapsto b_{20}$	SUB
$\rho P(s) \mapsto b_P$	BDD evaluation
$\rho (\exists n. \mathcal{S}_n(s)) \Rightarrow P(s) \mapsto b_{20} \text{ IMP } b_P$	IMP
$\vdash (\exists n. \mathcal{S}_n(s)) \Rightarrow P(s)$	THM assuming $b_{20} \text{ IMP } b_P$ is

Example: Check $\text{AG } P$ holds of model \mathcal{M}

Example

$$\mathcal{M} \models \text{AG } P$$

$$\downarrow$$

$$\forall \sigma. \mathcal{M} \sigma \Rightarrow \text{AG } P \sigma$$

$$\downarrow$$

$$\forall \sigma. (\mathcal{B}(\sigma 0) \wedge \forall n. \mathcal{R}(\sigma n, \sigma(n+1))) \Rightarrow \forall n. P(\sigma n)$$

$$\downarrow$$

$$\forall s n. \text{ReachBy } n \mathcal{R} \mathcal{B} s \Rightarrow P s$$

$$\downarrow$$

$$\forall s. (\exists n. \text{ReachBy } n \mathcal{R} \mathcal{B} s) \Rightarrow P s$$

$$\square$$

meaning of \models

$\mathcal{M} = \text{Machine}(\mathcal{R}, \mathcal{B})$

definition of ReachBy

first order logic

BDD calculation

Properties

- Reachable $\mathcal{R} \mathcal{B} s \Rightarrow \mathcal{Q} s$ means \mathcal{Q} true in all reachable states
- Might want to verify other properties, e.g:
 - *DeviceEnabled* is always true somewhere along every path starting anywhere (i.e. infinitely often along every path)
 - From any state it is possible to get to a state for which *Restart* holds
 - \mathcal{Q} is true on all paths sometime between i units of time later and j units of time later.
- CTL is a logic for expressing such properties
- Exist efficient algorithms for checking them
- Model checking:
 - check property in a model
 - Emerson & Clarke, early 1980s
 - starting to be used in industry

Computation Tree Logic (CTL)

Syntax of CTL Well-Formed Formulae

$wff ::= P$	(Atomic formula)
$\neg wff$	(Negation)
$wff_1 \wedge wff_2$	(Conjunction)
$wff_1 \vee wff_2$	(Disjunction)
$wff_1 \rightarrow wff_2$	(Implication)
AX wff	(All successors)
EX wff	(Some successors)
A [wff_1 U wff_2]	(Until – along all paths)
E [wff_1 U wff_2]	(Until – along some path)

Branching Time Logic

- property Φ hold along all paths: $A\Phi$
- property Φ holds along some paths: $E\Phi$

Paths

Paths

- Let \mathcal{R} have type $\alpha \times \alpha \rightarrow bool$
 - α ranges (intuitively) over states
- An \mathcal{R} -path is a function $\sigma : num \rightarrow \alpha$ such that:
 $\forall t. \mathcal{R}(\sigma(t), \sigma(t+1))$
- $PATH(\mathcal{R}, s)\sigma$ means σ is an \mathcal{R} -path from s
 $PATH(\mathcal{R}, s)\sigma = (\sigma(0)=s) \wedge \forall t. \mathcal{R}(\sigma(t), \sigma(t+1))$

Semantic Embedding of CTL in HOL

- Define:

$$\text{Atom}(p) = \lambda(\mathcal{R}, s). p(s)$$

$$\neg P = \lambda(\mathcal{R}, s). \neg(P(\mathcal{R}, s))$$

$$P \wedge Q = \lambda(\mathcal{R}, s). P(\mathcal{R}, s) \wedge Q(\mathcal{R}, s)$$

$$P \vee Q = \lambda(\mathcal{R}, s). P(\mathcal{R}, s) \vee Q(\mathcal{R}, s)$$

$$P \rightarrow Q = \lambda(\mathcal{R}, s). P(\mathcal{R}, s) \Rightarrow Q(\mathcal{R}, s)$$

$$\mathbf{AX}P = \lambda(\mathcal{R}, s). \forall s'. \mathcal{R}(s, s') \Rightarrow P(\mathcal{R}, s')$$

$$\mathbf{EX}P = \lambda(\mathcal{R}, s). \exists s'. \mathcal{R}(s, s') \wedge P(\mathcal{R}, s')$$

$$\mathbf{A}[P \mathbf{U} Q] = \lambda(\mathcal{R}, s). \forall \sigma. \text{PATH}(\mathcal{R}, s)\sigma$$

$$\Rightarrow$$

$$\exists i. Q(\mathcal{R}, \sigma(i)) \wedge \forall j. j < i \Rightarrow P(\mathcal{R}, \sigma(j))$$

$$\mathbf{E}[P \mathbf{U} Q] = \lambda(\mathcal{R}, s). \exists \sigma. \text{PATH}(\mathcal{R}, s)\sigma$$

$$\wedge$$

$$\exists i. Q(\mathcal{R}, \sigma(i)) \wedge \forall j. j < i \Rightarrow P(\mathcal{R}, \sigma(j))$$

Additional Operators

- Example: $\mathbf{AFP} = \mathbf{A}[\mathbf{T} \mathbf{U} P]$
- \mathbf{AFP} is true if P holds somewhere along every \mathcal{R} -path
 - i.e. P is *inevitable*
- Derivation is easy

\mathbf{AFP}

$$= \mathbf{A}[\mathbf{T} \mathbf{U} P]$$

$$= \lambda(\mathcal{R}, s) \cdot \forall \sigma. \text{PATH}(\mathcal{R}, s)\sigma \Rightarrow \exists i. P(\mathcal{R}, \sigma(i)) \wedge \forall j. j < i \Rightarrow \mathbf{T}(\mathcal{R}, \sigma(j))$$

$$= \lambda(\mathcal{R}, s) \cdot \forall \sigma. \text{PATH}(\mathcal{R}, s)\sigma \Rightarrow \exists i. P(\mathcal{R}, \sigma(i))$$

Example CTL Formulas

EF(*Started* \wedge \neg *Ready*)

It is possible to get to a state where *Started* holds but *Ready* does not hold.

AG(*Req* \rightarrow **AF***Ack*)

If a request *Req* occurs, then it will eventually be acknowledged by *Ack*.

AG(**AF***DeviceEnabled*)

DeviceEnabled is always true somewhere along every path starting anywhere:
i.e. *DeviceEnabled* holds infinitely often along every path.

AG(**EF***Restart*)

From any state it is possible to get to a state for which *Restart* holds.

Verification and Counterexamples

Typical Safety Question

- is \mathcal{Q} true in all reachable states?
- i.e. is $\text{Reachable } \mathcal{R} \mathcal{B} s \Rightarrow \mathcal{Q} s$ true?

Computation

- Compute BDD of $\text{Reachable } \mathcal{R} \mathcal{B} s \Rightarrow \mathcal{Q} s$
- if answer *false*: can get counterexample

Generating Counterexample Traces

Finding a Counterexample

- suppose Reachable $\mathcal{R} \mathcal{B} s \Rightarrow \mathcal{Q} s$ is false
- maybe counterexample before fixedpoint
- first find counterexample
 - generate BDDs of ReachBy $i \mathcal{R} \mathcal{B} (i = 0, 1, \dots)$
 - at each stage check whether $\mathcal{Q} s$ holds
 - hence find smallest n and state s_n such that
 $\text{ReachBy } n \mathcal{R} \mathcal{B} s_n \wedge \neg(\mathcal{Q} s_n)$
- Then trace backwards using:

$$\text{Pre } \mathcal{R} \mathcal{Q} s = \exists s'. \mathcal{R}(s, s') \wedge \mathcal{Q} s'$$

$$\text{Eq } s_1 s_2 = (s_1 = s_2)$$
 - use BDDs to get s_n, \dots, s_0 where
 $\text{ReachBy } (i-1) \mathcal{R} \mathcal{B} s_{i-1} \wedge \text{Pre } \mathcal{R} (\text{Eq } s_i) s_{i-1}$
 - $\text{Pre } \mathcal{R} \mathcal{Q} s$ can be deductively simplified
 (so that BDD of \mathcal{R} not needed)

Model Checking in HOL

Model Checking

- e.g. **AG P**($\mathcal{R}, \mathbf{s}_0$) is $\forall s. \text{Reachable } \mathcal{R} (\text{Eq } \mathbf{s}_0) s \Rightarrow P s.$
- current work has built a CTL model checker inside HOL

HOL + BDD Results

- deduction can enhance state enumeration
- simplify formulas to eliminate subterms
- state enumeration can enhance deduction
- find counterexamples
- formulas for reachable states

Summary

Model Checking Implementation

- deduction using theorem prover written in ML (HOL)
 - extended with THM as oracle
- external calls to BDD package written in C (BuDDy)
 - incremental database of computed instances of $\rho \ t \mapsto b$
- model checking by HOL deduction + BDD calculation
 - implemented by deduction rules programmed in ML
 - next 700 formal verification tools ...

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Conclusion

Connect External Tools

- LCF approach and oracles
- tags to trace origins of theorems
- very efficient approach

Implement Tools Internally

- implementation in higher-order logic
- clean and flexible approach